Stricken language would be deleted from and underlined language would be added to the law as it existed prior to this session of the General Assembly.

Act 648 of the Regular Session

1	State of Arkansas	A D'11		
2	87th General Assembl	y A Bill		
3	Regular Session, 2009		HOUSE BILL	2200
4				
5	By: Representative Pa	atterson		
6				
7				
8		For An Act To Be Entitled		
9	AN	ACT TO AMEND THE ARKANSAS INFORMATION SYST	'EMS	
10	AC	T OF 1997, § 25-4-101 ET SEQ.; AND FOR OTHE	iR.	
11	PU	RPOSES.		
12				
13		Subtitle		
14		TO AMEND THE ARKANSAS INFORMATION		
15		SYSTEMS ACT OF 1997, § 25-4-101 ET SEQ.		
16				
17				
18	BE IT ENACTED BY	THE GENERAL ASSEMBLY OF THE STATE OF ARKAN	SAS:	
19				
20		Arkansas Code § 25-4-102 is amended to re		
21		Legislative findings and declaration of interest		
22		eneral Assembly finds and declares informat		
23		arces to be strategic assets of the State o	f Arkansas and	
24	<u>-</u>	must be established to ensure that:		
25	(1)	Information resources are used in an effic		
26	(2)	Departmental resources are used unless an	exception is	
27	authorized;			
28	(3)	Information is administered and shared, co	nsistent with	
29	-	security, privacy, and confidentiality;		
30	(4)	Information technology acquisitions meet s		are
31		coordinated efforts to maximize standardiza	tion and cost	
32	effectiveness;			
33	(5)	State officials have timely access to info	rmation in use	ful
34	forms; and		_	
35	(6)	The Department of Information Systems comp	lies with	



- 1 applicable state and federal statutory and regulatory provisions.
- 2 (b) The General Assembly further declares its intent to create a state
- 3 agency to:
- 4 (1) Provide design and management services for the state's core
- 5 information technology infrastructures;
- 6 (2) Provide information technology services;
- 7 (3) Implement appropriate technologies to exchange and share
- 8 information; and
- 9 (4) Develop technical standards and specifications and provide
- 10 technical leadership and guidance to support the state's enterprise
- 11 architecture subject to the written approval of the Chief Fiscal Officer of
- 12 the State.
- 13 (c) It is also the intent of the General Assembly that the department
- 14 achieve certain objectives that will better support information technology
- 15 utilization by other state agencies. These objectives are to:
- 16 (1) Implement increased capabilities for communication and
- 17 exchange of information; and
- 18 (2) Develop and publish mechanisms for more timely acquisition
- 19 of information technology.
- 20 (d)(1) The General Assembly further finds and determines that:
- 21 (A) Information technology services are readily available
- 22 in the private sector;
- 23 (B) The public interest would be well served by
- 24 competition for the provision of such services to the state;
- 25 (C) Public-private partnerships or joint ventures for the
- 26 provision of such services may be appropriate in certain instances; and
- 27 (D) Emphasis will be given to encouraging and enabling
- 28 competition among:
- 29 (i) Suppliers of such services whenever possible in
- 30 the administration of this chapter; and
- 31 (ii) Women-owned and minority-owned suppliers of
- 32 such services whenever possible in the administration of this chapter.
- 33 (2) The department shall consider in the development of the
- 34 department plan and the Joint Committee on Advanced Communications and
- 35 Information Technology shall emphasize in its recommendations and policies
- 36 the availability in the private sector of information technology resources

- upon a competitive bid basis with a view to assuring the state of the highest reasonable quality of resources at the lowest reasonable cost.
- 3 (e)(1) In exercising its authority under § 25-4-105, the department
 4 shall competitively procure information technology except as provided in this
 5 subsection.
- 6 (2) The department is not authorized by § 25-4-105 to provide 7 information technology services, including telecommunications and broadband 8 services, to the general public, other than nongovernmental first responder 9 entities, in competition with private sector telecommunications and cable 10 communications providers.
 - (3) Customers of the department are not authorized to use information technology facilities and services provided by the department to provide telecommunications and broadband services to the general public in competition with private sector telecommunications and cable communications providers.

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- 17 SECTION 2. Arkansas Code §25-4-103 is amended to read as follows:
- 18 25-4-103. Definitions.
- 19 As used in this chapter:
- 20 (1) "Application" means a separately identifiable and
 21 interrelated set of information technology resources that allows information
 22 processing to support specifically defined objectives;
- 23 (2) "Chief Technology Officer" means the Director of the 24 Department of Information Systems;
- 25 (3) "Core information technology infrastructure" means the state 26 data, state network and application interfaces, state security, and disaster 27 recovery;
- 28 (4) "Customer" means a state agency, other governmental entity, 29 or nongovernmental first responder entity that purchases or uses services 30 under this chapter;
- 31 (5) "Department" means the Department of Finance and
- 32 Administration;
- 33 (6)(5) "Equipment" means the machines, devices, and transmission
- 34 facilities used in information processing, including computers, word
- 35 processors, terminals, telephones, cables, software, and related services;
- 36 $\frac{(7)(6)}{(6)}$ "Information processing" means the electronic capture,

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     collection, storage, manipulation, transmission, retrieval, and presentation
 2
     of information in the form of data, text, voice, or image and includes
 3
     telecommunications and office automation functions;
 4
                 (8)(7) "Information technology" means any component related to
 5
     information processing and wired and wireless telecommunications, including
 6
     data processing and telecommunications hardware, software, services,
 7
     planning, personnel, facilities, and training;
 8
                 (9)(8) "Information technology resources" means the procedures,
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     equipment, and software that are designed, built, operated, and maintained to
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     collect, record, process, store, retrieve, display, and transmit information,
11
     and the associated personnel, including consultants and contractors;
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                 (10)(9) "Network infrastructure" means the shared portions of
     the state's telecommunications transmission facilities, including all
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14
     transmission lines and all associated equipment and software components
15
     necessary for the management and control of the state network;
16
                 (11)(10) "Nongovernmental first responder entity" means state
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     and law enforcement personnel, fire department personnel, and emergency
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     medical personnel who will be deployed to bioterrorism attacks, terrorist
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     attacks, catastrophic or natural disasters, and other emergencies;
                 (12)(11) "Other governmental entities" means state-elected
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     constitutional officers and their staffs, the Supreme Court and the
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     Administrative Office of the Courts, the General Assembly or its committees
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     or staffs, the Arkansas State Highway and Transportation Department, the
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     Arkansas State Game and Fish Commission, the federal government, cities,
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     counties, municipalities, and public school districts, and other publicly
26
     funded governmental entities;
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                 (13)(12) "Project" means a program to apply information
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     technology resources to functions within or among elements of a state agency
     that ideally is characterized by well-defined parameters, specific
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     objectives, common benefits, planned activities, a scheduled completion date,
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     and an established budget with a specified source of funding;
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                 (14)(13) "Project management" means principles, practices, and
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     techniques applied to lead projects and teams and the control of project
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     schedules, costs, and performance risks with the goal of satisfying
     customers' requirements;
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                 (15)(14) "Public instrumentality" means any statutorily created
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1 entity charged with the responsibility of providing information or services 2 through the use of information technology; (16)(15) "State agencies" means all state departments, boards, 3 4 and commissions but shall not include the elected constitutional officers and 5 their staffs, the General Assembly and its committees and staffs, or the 6 Supreme Court and the Administrative Office of the Courts, and public 7 institutions of higher education with respect to academic, research, 8 healthcare, and existing information technology applications and underlying 9 support therefor; 10 (17)(16) "State of Arkansas enterprise architecture" means the 11 structure of program or system components, a description of the elements of 12 business processes and supporting technologies, policies, standards, procedures, solutions, and infrastructures that: 13 14 (A) Makes up an enterprise; and 15 (B) Documents how these the components described in this 16 subdivision relate to one another, and the principles that govern their 17 design and evolution over time; (18)(17) "Telecommunications" means all forms of communications 18 19 devices and transport media for the conveyance by electronic or electrical 20 means of voice, words, data, signals, or images; and 21 (19)(18) "Working group" means a group of subject matter experts 22 convened for the purpose of collaborating and devising strategies. 23 24 SECTION 3. Arkansas Code § 25-4-105 is amended to read as follows: 25 25-4-105. Department of Information Systems - General powers and 26 duties. 27 (a)(1) The Department of Information Systems shall be vested with all 28 the powers and duties necessary to administer the department and to enable it 29 to carry out fully and effectively the regulations and laws relating to the 30 department. 31 (2) These The department's powers and duties relate to 32 information technology and include, but are not limited to without 33 limitation: 34 (1) Conceptualizing, designing, developing, building, and maintaining common information technology infrastructure elements used by 35 36 state agencies and governmental entities;

- 1 (2) Providing information technology services to state agencies, 2 other governmental entities, and nongovernmental first responder entities, 3 and other quasi-governmental entities;
- 4 (3) Entering into contracts with state agencies, other 5 governmental entities, and nongovernmental first responder entities for the 6 purpose of providing information technology services;
- 7 (4) (A) Establishing fair and reasonable schedules of rates or 8 fees to be paid by customers that are provided service to enable the 9 department to recover all allowable costs of providing the services as 10 provided in this chapter.
- 11 (B) The same rate or fee structure will apply to all customers receiving services;
- 13 (5)(A) Establishing estimated billing rates to be developed for 14 a two-year period to coincide with the budgeting process.
- 15 (B) The department shall have the authority to adjust 16 billing as necessary to effect compliance with applicable state and federal 17 statutory and regulatory provisions.
- 18 (C) Billing adjustments shall be subject to the approval 19 of the Chief Fiscal Officer of the State and review by the Legislative 20 Council;
- 21 (6) Acquiring information technology on behalf of state 22 agencies, the cost of which shall be recovered through customer billings or 23 through direct funding;
 - (7) Promulgating rules and regulations that are necessary for efficient administration and enforcement of the powers, functions, and duties of the department as provided in this chapter;
- 27 (8) Developing a departmental plan to support the goals and 28 objectives set forth for it in the state information technology plans and 29 strategies;

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- 30 (9) Implementing systems to ensure the security of state data 31 and state data processing assets, to provide for disaster recovery and 32 continuity of operations to the state agencies served, and to recover its 33 costs from the customers benefited;
- 34 (10) Performing any additional powers, functions, and duties 35 that are necessary and appropriate for the proper administration of the 36 provisions of this chapter;

1	(11) Monitoring Providing a State Cyber Security Office to
2	monitor information resource security issues, coordinating all security
3	measures that could be used to protect resources by more than one (1)
4	governmental entity, and acting as an information technology resource to
5	other state agencies;
6	(12) Assisting in the development of an information technology
7	security policy for state agencies;
8	(13) Developing the information technology security policy for
9	state agencies;
10	(14) Advising agencies in acquiring information technology
11	service;
12	(15) Developing the information technology policies, standards,
13	and specifications for state agencies when requested and ensuring agencies'
14	compliance with those policies, procedures, and standards;
15	(16) Participating in the development of information technology
16	state contracts as requested by a state agency, including without limitation
17	the identification of requirements, contract negotiation, and vendor
18	evaluation;
19	(17) With respect to their technology functions and
20	applications, all state departments, boards, commissions, and public
21	institutions of higher education, consulting and cooperating with the
22	Department of Information Systems in the formation and implementation of
23	security policies for the state core information technology infrastructure;
24	and
25	(18) Assisting in the development of Developing a state
26	information technology plan that shall establish a state-level mission,
27	goals, and objectives for the use of information technology- $:$
28	(19) Identifying and establishing information technology
29	solutions that can support more than one (1) agency in providing governmental
30	services;
31	(20) Advising agencies regarding information technology
32	contracts and agreements;
33	(21) Developing policies to promote and facilitate electronic
34	access to government information and interoperability of information systems;
35	<u>and</u>
36	(22) Reviewing and approving agencies, information technology

1 plans and requests. 2 (b) Nothing in this This chapter shall not be construed to deprive, 3 transfer, limit, or in any way alter or change any of the powers vested in 4 the board of trustees of any institution of higher education under existing 5 constitutional and statutory provisions. 6 7 SECTION 4. Amend Arkansas Code § 25-4-106 to read as follows: 8 25-4-106. Reporting requirements. 9 (a)(1) The Director of the Department of Information Systems will 10 report periodically to the Joint Committee on Advanced Communications and 11 Information Technology regarding the status of the Department of Information 12 Systems' information technology responsibilities in state government. 13 (2) The director will forward to the Joint Committee on Advanced 14 Communications and Information Technology any statutory changes that the 15 department may recommend sufficiently in advance of the convening of the 16 regular session of the General Assembly. 17 (3)(2) The director may report any factors that are outside the scope of the department but are deemed to inhibit or to promote the 18 19 department's responsibilities. (b)(1) By October 31, January 31, April 30, and July 31 of each fiscal 20 21 year, the director shall compile and submit a report to the: 22 (A) Legislative Council, if submitted between regular 23 sessions of the General Assembly; 24 Joint Budget Committee, if submitted during a session (B) 25 of the General Assembly; and 26 (C) Joint Committee on Advanced Communications and 27 Information Technology. 28 (2) The report shall: 29 (A) Detail all requests from state agencies, boards, and 30 commissions for advice regarding information technology planning, implementation, installation, rates or fees, utilization of products, 31 32 services, and integrations or upgrades to be added to all existing technology 33 plans; and 34 (B) Provide a full report of all corresponding

recommendations made by the department to the requesting state agencies,

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boards, and commissions.

T	(3) The report shall include:
2	(A) The name of the state agency, board, or commission
3	requesting the advice;
4	(B) The name and scope of the project for which advice is
5	being sought;
6	(C) The type of advice sought, for example, technical,
7	product or service utilization, planning, implementation, installation,
8	integration, or upgrades;
9	(D) A detailed explanation of all recommendations provided
10	by the department;
11	(E) How the recommendation fits into the information
12	technology plan of the agency, board, or commission;
13	(F) How the recommendation fits into the state's
14	information technology plan and shared technical state enterprise
15	architecture; and
16	(G) Other information as may be useful for policy making
17	decisions by the Legislative Council or the Joint Committee on Advanced
18	Communications and Information Technology.
19	
20	SECTION 5. Arkansas Code § 25-4-107 is repealed.
21	25-4-107. Department of Finance and Administration — General powers and
22	duties.
23	The Department of Finance and Administration shall:
24	(1) Assist the Department of Information Systems in performing
25	its duties;
26	(2) Review and approve agencies' information technology plans
27	and requests;
28	(3) Advise agencies in acquiring information technology service;
29	(4) Advise agencies regarding information technology contracts
30	and agreements;
31	(5) Develop and publish policies, procedures, and standards
32	relating to information technology with advice and review from the Department
33	of Information Systems and ensure agencies' compliance with those policies,
34	procedures, and standards;
35	(6) Develop policies to promote and facilitate electronic access
36	to government information and interoperability of information systems:

1	(7) Assist in developing a state information technology plan
2	that shall establish a state-level mission, goals, and objectives for the use
3	of information technology; and
4	(8) Foster interagency use of information technologies that is
5	consistent with the established strategic direction of information technology
6	and avoids unnecessary duplication.
7	
8	SECTION 6. Amend Arkansas Code §25-4-110 as follows:
9	25-4-110. Information technology — Planning.
10	(a)(1) The Department of Finance and Administration shall assist in
11	developing the state information technology plan.
12	(2) The Department of Information Systems shall submit monthly
13	status reports <u>annually or when requested</u> to the Joint Committee on Advanced
14	Communications and Information Technology.
15	(b) The Department of Finance and Administration with advice and
16	review of the Department of Information Systems shall develop and maintain
17	information technology policies.
18	(c)(1) Each state agency shall develop a biennial information
19	technology plan that establishes state agency goals, objectives, and policies
20	regarding the development and use of information technology.
21	(2)(A) Each state agency shall specifically include a policy
22	regarding the use of the Internet.
23	(B) A statement of the agency's policy regarding the use
24	of the Internet shall include:
25	(i) The penalties for violations of the agency's
26	Internet policy;
27	(ii) The number of employees and computers that have
28	access to the Internet and the percentage of those employees and computers to
29	the total number of employees and computers;
30	(iii) The needs of the agency and how those needs
31	relate to the use of the Internet; and
32	(iv) The responsibilities of the agency's employees
33	as those responsibilities relate to the efficient and responsible use of the
34	Internet.
35	(3) Plans may be updated by agencies in a timely manner to
36	remain current and must accommodate changes in the evolving state information

- 1 technology plan and standards.
- 2 (d)(c) The Department of Finance and Administration Information
- 3 Systems shall distribute criteria, elements, form, and format for agency
- 4 plans. Plans may include, but not be limited to, the following:
- 5 (1) A statement of the agency's mission, goals, and objectives
- 6 for information technology;
- 7 (2) Goals and objectives for achieving electronic access to
- 8 agency records, information, and services;
- 9 (3) Consideration of a variety of information technologies,
- 10 including those that help transcend geographic locations, standard business
- 11 hours, economic conditions of users, and disabilities;
- 12 (4) Compliance with the Freedom of Information Act of 1967, §
- 13 25-19-101 et seq.;
- 14 (5) An explanation of how the state agency's mission, goals, and
- 15 objectives for information technology support and conform to the state
- 16 information technology plan developed by the office;
- 17 (6) An implementation strategy to include:
 - (A) Annual implementation objectives of the plan;
- 19 (B) Methods to educate both state employees and the public
- 20 in the effective use of access technologies; and
- 21 (C) Agency activities to increase electronic access to
- 22 public records and information to be implemented within available resources
- 23 and existing state agency planning processes;
- 24 (7) Projects and resources required to meet the objectives of
- 25 the plan;

- 26 (8) Estimated schedules and funding required to implement
- 27 identified projects;
- 28 (9) An evaluation of the agency's performance relating to
- 29 information technology;
- 30 (10) An assessment of progress made toward implementing the
- 31 agency information technology plan;
- 32 (11) A discussion of progress toward electronic access to public
- 33 information and enabling citizens to have two-way interaction for obtaining
- 34 information and services from state agencies; and
- 35 (12) An inventory of state agency information technology.
- (e)(d)(1) Plans developed or updated shall be submitted to the

- 1 Department of Finance and Administration Information Systems.
- 2 (2) The Department of Finance and Administration department may
- 3 reject, require modification to, or approve plans as deemed appropriate.
- 4 (3) Plans shall be modified by the state agency as necessary.
- 5 (f)(e)(1) Plans developed or updated by public instrumentalities shall
- 6 be submitted for review to the Joint Committee on Advanced Communications and
- 7 Information Technology.
- 8 (2) The committee may seek the assistance of the Department of
- 9 Finance and Administration Information Systems in conducting this review.
- 10 (3) Plans shall be modified by the public instrumentality as
- 11 necessary.

- 13 SECTION 7. Arkansas Code § 25-4-111 is amended to read as follows:
- 14 25-4-111. Information technology Prerequisites.
- 15 (a) Unless the agency first receives approval for a plan or an updated
- 16 plan as provided for under § 25-4-110, no a state agency shall not:
- 17 (1) Acquire by purchase or lease any new or additional
- 18 information technology; or
- 19 (2) Enter into any contract for information technology.
- 20 (b) If an agency desires to acquire information technology not part of
- 21 an information technology plan approved under § 25-4-110, the requesting
- 22 agency shall submit a waiver request to the Director of the Department of
- 23 Finance and Administration Information Systems that includes:
- 24 (1) Identification of necessary additional services or
- 25 improvements in information technology;
- 26 (2) Relationship of the information technology improvements or
- 27 additions to the overall goals of the agency;
- 28 (3) Resources needed to provide the additional services or
- 29 improvements; and
- 30 (4) Measurement and evaluation criteria.
- 31 (c)(1) Upon evaluation of the waiver request, the Director of the
- 32 Department of Finance and Administration Information Systems shall notify the
- 33 agency in writing of his or her approval or rejection of the request and his
- 34 or her reasons therefor.
- 35 (2) The Director of the Department of Finance and Administration
- 36 Information Systems shall make his or her evaluation in a timely manner. If

- 1 the Director of the Department of Finance and Administration Information
- $\underline{\text{Systems}}$ requires more than thirty (30) days to complete the evaluation, he or
- 3 she shall report in writing to the Governor his or her reasons for the delay
- 4 in completion.
- 5 (3) If the Director of the Department of Finance and
- 6 Administration Information Systems rejects a request for a waiver, no a state
- 7 agency shall <u>not</u> make any expenditure of public funds for the acquisition or
- 8 expansion of information technology equipment or services.
- 9 (4) If the Director of the Department of Finance and
- 10 Administration Information Systems determines that the agency needs
- 11 additional information technology resources, he or she may:
- 12 (A) Authorize the agency to acquire the requested
- 13 information technology in accordance with the state enterprise architecture;
- 14 (B) Authorize acquisition of a modified information
- 15 technology configuration;
- 16 (C) Notify the agency of the availability of department
- 17 facilities to provide the requested information technology; or
- 18 (D) Recommend that the information technology be provided
- 19 through the facilities of some other designated state agency.
- 20 (d) All state agencies shall comply with the provisions of the
- 21 Arkansas Procurement Law, § 19-11-201 et seq., and applicable provisions of
- 22 the General Accounting and Budgetary Procedures Law, § 19-4-101 et seq., in
- 23 the acquisition, purchase, contracting for the purchase of, and leasing of
- 24 information technology.

- 26 SECTION 8. Arkansas Code § 25-4-114 is amended to read as follows:
- 27 25-4-114. Contracts and agreements for information technology.
- 28 (a) In the event a state agency and the Department of Information
- 29 Systems are unable to resolve a dispute, the matter shall jointly be referred
- 30 to the Director of the Department of Finance and Administration for
- 31 resolution. Contracts and agreements for state agencies for information
- 32 technology shall adhere to the state enterprise architecture.
- 33 (b) A state agency shall submit to the Director of the Department of
- 34 Information Systems for review and approval a request for the state agency to
- 35 enter into a technology contract or agreement that is not in compliance with
- 36 the state enterprise architecture.

1	(b)(c) Contracts for the provision of information technology are
2	interagency agreements and are exempt from the provisions of the Arkansas
3	Procurement Law, § 19-11-201 et seq., and the General Accounting and
4	Budgetary Procedures Law, § 19-4-101 et seq., nor are they required to be
5	submitted to the Legislative Council for advice.
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7	APPROVED: 3/27/2009
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